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6 SEM TDC FSA 1 (Sp)

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(May)

COMMERCE

(Speciality)

Course : 601

(Financial Services)

Full Marks : 80

Pass Marks : 32

Time : 3 hours

*The figures in the margin indicate full marks
for the questions*

1. Fill in the blanks :

1×8=8

- (a) CRISIL was established in the year —.
- (b) The NM rating by CRISIL implies —.
- (c) In a close-ended lease, the asset gets transferred to the — at the end of the period of the lease contract.
- (d) The NAV per unit=NAV of the scheme +
No. of units outstanding on the — date.

(2)

(e) Sales load is also known as ——— load.

(f) DPs stands for ——— ———.

2. Write short notes on (any four) : 4×4=16

(a) Financial lease

(b) Operating lease

(c) Leverage leasing

(d) Leasing decision

(e) Evaluation of leases

(f) Legal aspect of a lease

3. (a) Write a note on the benefits of credit rating. 14

Or

(b) Discuss the determinants of a quality credit rating.

4. (a) Enumerate the objectives and benefits of mutual funds. 7+7=14

Or

(b) Discuss about various types of mutual fund with examples. 14

(3)

5. (a) Write a note on the nature and functions of depositories. 7+7=14

Or

- (b) Examine the working of the depository system in India. 14

6. (a) What is venture capital? How does it differ from finance provided by development banks? 5+9=14

Or

- (b) Discuss the salient features of the SEBI regulations regarding venture capital funds. 14
